

# Introduction to de Rham Cohomology Theory

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# Outline

- 1 Motivation and Background
- 2 Differential Forms and De Rham Cohomology
- 3 Examples and Results

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- 2 Differential Forms and De Rham Cohomology
- 3 Examples and Results

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- Gives the name “differential” for (co)homology;
- Connects calculus, algebra and topology;
- De Rham cohomology is a powerful tool for studying smooth manifolds.

# Calculus

[MT97, Chapter 1]. Fix an open set  $U \subseteq \mathbb{R}^2$ , and let  $C^\infty(U, \mathbb{R}^k)$  denote the set of smooth functions from  $U$  to  $\mathbb{R}^k$ .

## Definition (Gradient, Rotation)

For  $F \in C^\infty(U, \mathbb{R})$  and  $(F_1, F_2) \in C^\infty(U, \mathbb{R}^2)$ , we define

$$\text{grad } F := (D_1 F, D_2 F), \text{rot}(F_1, F_2) := D_1 F_2 - D_2 F_1.$$

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It follows that

## Proposition

We have  $\text{rot} \circ \text{grad} = 0$ , and the following complex.

$$C^\infty(U, \mathbb{R}) \xrightarrow{\text{grad}} C^\infty(U, \mathbb{R}^2) \xrightarrow{\text{rot}} C^\infty(U, \mathbb{R}) \longrightarrow 0.$$



## Definition

The first homology group is the quotient group is defined as follows:

$$H_{dR}^1(U) := \ker(\text{rot}) / \text{Im}(\text{grad}).$$

## Theorem

*For a star-shaped open set  $U \subseteq \mathbb{R}^2$ , we have  $H_{dR}^1(U) = 0$ .*

## Sketch of Proof.

WLOG, let  $U$  be star-shaped with respect to 0. Let  $\text{rot}(f_1, f_2) = 0$ . The function

$$F(x_1, x_2) := \int_0^1 x_1 f_1(tx_1, tx_2) + x_2 f_2(tx_1, tx_2) dt$$

satisfies  $D_1 F = f_1$ ,  $D_2 F = f_2$  whenever  $D_2 f_1 = D_1 f_2$ . Therefore,  $\text{grad } F = (f_1, f_2)$  and hence  $\text{Im}(\text{grad}) = \ker(\text{rot})$ . □

## Remark

*It is not very simple to find other  $H_{dR}^1(U)$ , even  $U = \mathbb{R}^2 \setminus \{0\}$ .*

## Definition

For  $k \geq 1$  and an open subset  $U \subseteq \mathbb{R}^k$ , we define

$$H_{dR}^0(U) := \ker(\text{grad}).$$

We will show that it counts the connected components of  $U$  (equivalently, path-connected components, since  $\mathbb{R}^n$  is locally path-connected).

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## Theorem

*For an open subset  $U \subseteq \mathbb{R}^k$ ,  $H_{dR}^0(U) = \mathbb{R}$  iff  $U$  is connected.*

## Proof.

Sufficiency: we want  $f \in H_{dR}^0(U)$  to be just  $c_f$ , where  $c_f$  is a constant for each  $f$ . Each  $x_0 \in U$ , there is an open neighbourhood  $V(x_0)$  of  $x_0$  such that  $f = f(x_0)$  on  $V(x_0)$ . Then the set

$$\{x \in U \mid f(x) = f(x_0)\} = f^{-1}(f(x_0))$$

is closed, since  $f$  is continuous. It is also open since  $V(x_0) \subseteq U$ . Now we prove what we wanted. Connectivity of  $U$  means that  $f$  is constant.

Necessity: if  $U$  is not connected, we can take  $f: U \rightarrow \{0, 1\}$  that is smooth and surjective. Then  $\dim H_{dR}^0(U) > 1$ . □

There are relevant results, such as [Rot88, Exercise 12.2].

### Exercise

If  $\{X_\lambda: \lambda \in \Lambda\}$  is the set of path components of  $X$ , prove that, for every  $n \geq 0$ ,

$$H^n(X; G) \cong \prod_{\lambda} H^n(X_\lambda; G).$$

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Similarly

### Corollary

For open set  $U \subseteq \mathbb{R}^k$ , we have  $H_{dR}^0(U) = \prod_{\pi_0(U)} \mathbb{R}$ .

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# Differential Forms

Let  $x_1, \dots, x_n$  be the linear coordinates on  $\mathbb{R}^n$ . Then for any fixed point  $p \in \mathbb{R}^n$ , we can define  $n$  linear map

$$\begin{aligned} \partial/\partial x_i : C_p^\infty &\rightarrow \mathbb{R} \\ f &\mapsto D_i f|_p. \end{aligned}$$

The linear space spanned by  $\{\partial/\partial x_i\}$  over  $\mathbb{R}$  is denoted  $T_p(\mathbb{R}^n)$ , which is called the tangent space. The dual space  $T_p^*(\mathbb{R}^n)$  is called the cotangent space. Let  $\{dx_i\}$  to be the dual basis of  $\{\partial/\partial x_i\}$ .



For every fixed integer  $1 \leq q \leq n$ , let  $\Omega^q(\mathbb{R}^n)$  to be the free-module of ring  $C^\infty(\mathbb{R}^n)$  with the basis

$$\{dx_{i_1} \dots dx_{i_q} \mid 1 \leq i_1 < i_2 < \dots < i_q \leq n\}.$$

Every element in  $\Omega^q(\mathbb{R}^n)$  has the form

$$\sum_{1 \leq i_1 < i_2 < \dots < i_q \leq n} f_{i_1 \dots i_q} dx_{i_1} \dots dx_{i_q},$$

where  $f_{i_1 \dots i_q} \in C^\infty(\mathbb{R}^n)$ , we call it the  $C^\infty$   $q$ -form.

### Remark

We define  $\Omega^0(\mathbb{R}^n) = C^\infty(\mathbb{R}^n)$ .

Define  $\Omega^* = \bigoplus \Omega^q(\mathbb{R}^n)$ , then  $\Omega^*$  is also a  $C^\infty(\mathbb{R}^n)$ -module. Define a multiple operation on  $\Omega^*$  as usual sense but with relation:

$$\begin{cases} (dx_i)^2 = 0 \\ dx_i dx_j = -dx_j dx_i, i \neq j. \end{cases}$$

There is a differential operator

$$d : \Omega^q(\mathbb{R}^n) \rightarrow \Omega^{q+1}(\mathbb{R}^n)$$

defined as follows:

- 1 If  $f \in \Omega^0(\mathbb{R}^n)$ , then  $df = \sum D_i f \, dx_i$ ;
- 2 If  $\omega = \sum f_I dx_I$ , then  $d\omega = \sum df_I dx_I$ .

## Example

In the case  $\mathbb{R}^2$ : let  $\omega_0 = f$ ,  $\omega_1 = Pdx + Qdy$  and  $\omega_2 = Fdxdy$ . We have

$$d\omega_0 = D_1 f dx + D_2 f dy,$$

$$\begin{aligned} d\omega_1 &= (D_1 P dx + D_2 P dy) \wedge dx + (D_1 Q dx + D_2 Q dy) \wedge dy \\ &= (D_1 Q - D_2 P) dxdy. \end{aligned}$$

$$d\omega_2 = 0.$$

## Proposition

We have  $d \circ d = 0$  and hence a chain complex:

$$\begin{array}{ccccccc} \Omega^0(\mathbb{R}^n) & \longrightarrow & \Omega^1(\mathbb{R}^n) & \longrightarrow & \cdots & \longrightarrow & \\ & & & & & \searrow & \\ & & & & & & \Omega^{n-1}(\mathbb{R}^n) & \longrightarrow & \Omega^n(\mathbb{R}^n) & \longrightarrow & 0 \end{array}$$

# The de Rham Complex

## Example

Here is the de Rham complex of  $\mathbb{R}^2$ , isomorphic to the lower complex mentioned before:

$$\begin{array}{ccccccc}
 \Omega^0(\mathbb{R}^2) & \xrightarrow{d} & \Omega^1(\mathbb{R}^2) & \xrightarrow{d} & \Omega^2(\mathbb{R}^2) & \xrightarrow{d} & 0 \\
 \parallel \sim & & \parallel \sim & & \parallel \sim & & \\
 C^\infty(\mathbb{R}^2, \mathbb{R}) & \xrightarrow{\text{grad}} & C^\infty(\mathbb{R}^2, \mathbb{R}^2) & \xrightarrow{\text{rot}} & C^\infty(\mathbb{R}^2, \mathbb{R}) & \longrightarrow & 0
 \end{array}
 .$$

## Example (continued)

Here isomorphisms are

$$\begin{array}{ccc}
 f & F_1 dx + F_2 dy & F dx dy \\
 \downarrow & \downarrow & \downarrow \\
 f & (F_1, F_2) & F
 \end{array}
 .$$

And  $d$ 's are

$$f \mapsto D_1 f dx + D_2 f dy, F_1 dx + F_2 dy \mapsto (D_1 F_2 - D_2 F_1) dx dy.$$

# De Rham Cohomology Groups

As we define homology groups of a complex:

## Definition

The *de Rham cohomology groups*  $H_{dR}^k(M)$  of a smooth manifold  $M$  are the quotient groups

$$H_{dR}^k(M) = \frac{\ker(d : \Omega^k(M) \rightarrow \Omega^{k+1}(M))}{\operatorname{Im}(d : \Omega^{k-1}(M) \rightarrow \Omega^k(M))}.$$

## Remark

*The element of  $\ker(d : \Omega^k(M) \rightarrow \Omega^{k+1}(M))$  is called the closed  $k$ -form.  
The element of  $\operatorname{Im}(d : \Omega^{k-1}(M) \rightarrow \Omega^k(M))$  is called the exact  $k$ -form.*

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# Examples

## Example

For any smooth manifold  $M$ ,

$$H_{dR}^0(M) \cong \mathbb{R}^k$$

where  $k := \sharp \pi_0(M)$  is the number of connected (equivalently, path-connected) components of  $M$ .

It generalizes the corollary mentioned before:

## Corollary

For open set  $U \subseteq \mathbb{R}^k$ , we have  $H_{dR}^0(U) = \prod_{\pi_0(U)} \mathbb{R}$ .



# The Poincaré Lemma

## Theorem (Poincaré's Lemma)

*Let  $U \subseteq \mathbb{R}^n$  be a star-shaped open set. Then*

$$H_{dR}^k(U) = \begin{cases} \mathbb{R} & \text{if } k = 0 \\ 0 & \text{if } k > 0 \end{cases}$$

# The Poincaré Lemma

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## Example

Let  $n \geq 1$  be fixed. For  $\mathbb{R}^n$ , we have

$$H_{dR}^k(\mathbb{R}^n) = \begin{cases} \mathbb{R} & \text{if } k = 0 \\ 0 & \text{if } k > 0 \end{cases}$$

The case  $k = 0$  follows from the previous example and the case  $(k, n) = (1, 2)$  was proved in Section 1. General proof can be founded in [MT97, Theorem 3.15].

# De Rham's Theorem

## Theorem (De Rham)

*Let  $(M)$  be the category of smooth, connected, orientable manifolds with smooth maps. There is a natural isomorphism:*

$$\begin{array}{ccc}
 & H_{dR}^k & \\
 & \curvearrowright & \\
 (M) & \Downarrow \theta & (Ab). \\
 & \curvearrowleft & \\
 & H_{sing}^k &
 \end{array}$$

- Connects de Rham cohomology to classical cohomology.
- Gives an interpretation of why de Rham cohomology is a topological invariant.

# Other Important Results

- *Functoriality* of  $\Omega^*$ : in fact, we have a contravariant functor  $\Omega^*$  from the category (SmoothEuclid) to (ComDiffAlg). [BT13, Chapter 1.2]
- *Mayer-Vietoris sequence* for de Rham cohomology, which contributes one way to calculate homology groups of the punctured plane  $\mathbb{R}^2 \setminus \{0\}$ , spheres and so on. [MT97, Chapter 6].
- De Rham cohomology with *compact supports*. [BT13, Chapter 1.1]
- De Rham cohomology *ring*:  $H^\bullet(M) := \bigoplus_{k \in \mathbb{Z}} H^k(M)$  is naturally a commutative graded ring (w.r.t the wedge product as a special case of cup product). e.g.,  $H^\bullet(\mathbb{R}^2 \setminus \{0\}) \cong \mathbb{R}[X]/(X^2)$ . [Mun18, Chapter 5]

*Thank you!*

# References

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